ONC TEFCA
Recognized Coordinating Entity
Monthly Informational Call
May 19, 2020

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This project is supported by the Office of the National Coordinator for Health Information Technology (ONC) of the U.S. Department of Health and Human Services (HHS) under 90AX0026/01-00 Trusted Exchange Framework and Common Agreement (TEFCA) Recognized Coordinating Entity (RCE) Cooperative Agreement. This information or content and conclusions are those of the author and should not be construed as the official position or policy of, nor should any endorsements be inferred by ONC, HHS or the U.S. Government.

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Agenda

- Welcome

- RCE Status Update

- Common Agreement Work Group Update

- QHIN Technical Framework Update

- QHIN Metrics

- Next Steps

- Questions and Answers

Meet the RCE Team

Mariann Yeager
CEO
The Sequoia Project

Dave Cassel
Executive Director
Carequality Inc.

Steve Gravely
Founder & CEO
Gravely Group

Chantal Worzala
Principal, Alazro
Consulting

David Pyke
Senior Consultant
Ready Computing

Stephanie Rizk
Manager, Health IT Policy
RTI International

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RCE Update

RCE Progress

MRTCs

- ONC-RCE language review sessions
- RCE facilitated research for TEFCA Summary of Disclosures
- RCE supported analysis for certain MRTC topics

ARTCs

- RCE drafted ARTC framework and initial ARTCs
- Public stakeholder feedback meetings
- ONC-RCE review sessions

Stakeholder Input

- ONC approved stakeholder engagement strategy and plan
- Public stakeholder feedback meetings
- Monthly informational calls

QHIN Technical Framework

- RCE scoping discussion with ONC
- Public stakeholder feedback meetings
- Submitted draft QTF to ONC

Common Agreement Work Group

- ONC approved charter
- Defined work group selection criteria
- Launched work group

QHIN Metrics

- Environmental scan
- Define objectives and scope
- Develop measures

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Common Agreement Work Group Update

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Common Agreement Work Group

- Purpose
  - Inform RCE development of the draft Common Agreement (MRTC/ARTC components)
- Participation
  - 23 organizations represented, including HINs and HIN Participants
  - Operate under MOU and Confidentiality Agreement
  - Participation is not a guarantee of QHIN status
- Process
  - RCE facilitates discussion for defined topics in bi-weekly meetings
  - Participants share feedback and work towards consensus
  - RCE synthesizes feedback and refines contract language
  - RCE hosts public stakeholder feedback session on key ARTCs
  - RCE prepares Common Agreement Draft Version 1 for submission to ONC
- Additional Opportunities for Input
  - Monthly informational calls
  - Common Agreement Draft Version 1 public comment period

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CAWG Work Plan Schedule – Where We Are

	Date	Session #	Topic	Draft CA Sections
Common Agreement Work Group	4/1	1	Kickoff Meeting	--
	4/15	2	Governance Structure	--
	~~4/29~~	~~3~~	~~Governance (cont'd as needed) + Rights of the Individual~~	~~--~~
	5/13	3	New Definitions; Cooperation & Non-Discrimination; Specific QHIN Obligations; QHIN Privacy & Security	Sections 1, 5, 9, & 8
	5/27	4	General Obligations; Specific Participant Obligations; Specific Subparticipant Obligations	Sections 10, 11, & 12
	6/10	5	Accountability; Dispute Resolution; Stability of QHIN Network; Fees	Sections 6, 13, 14, & 15
	6/24	6	Cont: Accountability; Dispute Resolution; Stability of QHIN Network; Fees	Sections 6, 13, 14, & 15
	7/8	7	Contract Administration (specifically Governing Law & Amendment); other/overflow	Section 16

All Sessions are currently anticipated to be virtual.

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Topics Addressed Thus Far

- Session 1: 4/1/20
  - Definitions
  - QHIN designation process
- Session 2: 4/15/20
  - Governance models
  - Role of The Sequoia Project's Board of Directors
  - Role of QHINs, Participants, and others
- Session 3: 5/13/20
  - Section 1: New Definitions
  - Section 5: Cooperation & Non-Discrimination
  - Section 9: Specific QHIN Obligations
  - Section 8: QHIN Privacy & Security

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What's Next

Sessions 4: 5/27/20

- Section 10: General Obligations

- Section 11: Specific Participant Obligations

- Section 12: Specific Participant Member Obligations

QHIN Technical Framework (QTF) Update

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Elements of the QHIN Technical Framework

- **Supported Information Flows:**
  - Patient Discovery
  - Document Query
  - Message Delivery
- **Functions and Technology to Support Exchange**
  - Certificate Policy
  - Secure Channel
  - Mutual QHIN Server Authentication
  - User Authentication
  - Authorization and Exchange Purpose
  - Patient Identity Resolution
  - Individual Privacy Preferences
  - Directory Services
  - Auditing
  - Error Handling
  - Onboarding and Testing

> **Approach:**
> - Build from current capabilities
> - Deploy known standards
> - Keep an eye toward future approaches

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QTF Status

- Two public stakeholder feedback meetings

- Submitted draft QTF to ONC for review

- Three review sessions with ONC

- QTF being revised based upon ONC feedback

- Updated draft will be provided to ONC
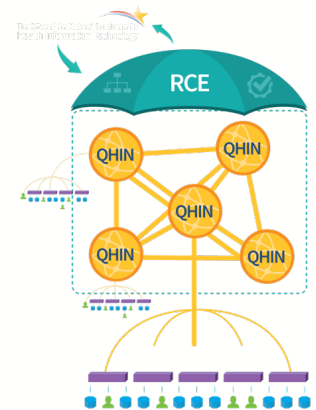
QHIN Metrics

QHIN Metrics

- The RCE will collect metrics to measure the interoperable exchange of health information.
- ONC completed an environmental scan of data collection methods of HIEs in April 2020 and provided to RCE team.
- The RCE is currently developing a roadmap outlining a process and recommendations for QHIN measurement requirements.
- Initial "guiding principles" include:
  - High impact
  - Feasible
  - Low burden
- Next steps (target dates): Review by ONC (May/June), Targeted stakeholder feedback (July/August), Public feedback (August-December)

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Next Steps

What's Next?

- RCE will facilitate the CAWG process.
- RCE will host monthly informational calls.
- RCE will submit drafts to ONC.
  - Common Agreement Draft Version 1 for Public Comment – Combined contract terms (MRTCs / ARTCs)
  - QHIN Technical Framework (QTF) – Draft 2
- ONC will post the QTF Draft 2 and Common Agreement Draft Version 1 for public comment.
- Public comments will inform next iteration of the Common Agreement and QTF.

Get involved: https://rce.sequoiaproject.org/contact/

Questions & Answers

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