



ONC
TEFCA
RECOGNIZED
COORDINATING
ENTITY

TEFCA Cross Reference Resource

August 6, 2024

PURPOSE

The Common Agreement and Participant/Subparticipant Terms of Participation (ToP) incorporate by reference the Standard Operating Procedures (SOPs). The SOPs contain important details that Qualified Health Information Networks™ (QHINs™), Participants, and Subparticipants should understand when they engage in Trusted Exchange Framework and Common Agreement™ (TEFCA™) Exchange activities. This resource is intended to help QHINs, Participants, and Subparticipants understand which SOPs are relevant to which sections of the applicable Framework Agreements. If a section of a Framework Agreement references “an applicable SOP,” the section number may be included in the table below along with the applicable SOP(s). Since the section numbers are different in the Common Agreement and the ToP, there is a column for each in the chart below. If there is no section number in the ToP column, then that SOP is only relevant for QHINs and not Participants and Subparticipants regarding that specific section of the Common Agreement.

Please note that while we strive to maintain accuracy in this resource, it is provided for educational purposes only. This resource should not be solely relied upon by QHINs, Participants, or Subparticipants. It is ultimately a QHIN’s, Participant’s, or Subparticipant’s contractual responsibility to ensure it is compliant with all applicable SOPs regardless of whether they are listed in this resource.

CROSS REFERENCE RESOURCE TABLE

Common Agreement Version 2.0 Sections		ToP Section	Applicable SOPs
1.1	Definition: Cybersecurity Council	1.1	<ul style="list-style-type: none"> QHIN Security Requirements for Protection of TEFCA Information (TI) TEFCA Security Incident Reporting
1.1	Definition: Designation	1.1	<ul style="list-style-type: none"> QHIN Onboarding & Designation
1.1	Definition: Directory Entry(ies)	1.1	<ul style="list-style-type: none"> RCE Directory Service Requirements Policy
1.1	Definition: Dispute	1.1	<ul style="list-style-type: none"> Dispute Resolution
1.1	Definition: Dispute Resolution Process	1.1	<ul style="list-style-type: none"> Dispute Resolution

Common Agreement Version 2.0 Sections		ToP Section	Applicable SOPs
1.1	Definition: Exchange Purpose(s) or XP(s)	1.1	<ul style="list-style-type: none"> Exchange Purposes (XPs) XP Implementation: IAS Demographic Matched XP Implementation: Treatment XP Implementation: Health Care Operations XP Implementation: Public Health
1.1	Definition: Governing Council	1.1	<ul style="list-style-type: none"> TEFCA Governance Approach
1.1	Definition: Governance Functions	1.1	<ul style="list-style-type: none"> TEFCA Governing Council
1.1	Definition: Governance Services	1.1	<ul style="list-style-type: none"> QHIN Onboarding & Designation
1.1	Definition: Participant	1.1	<ul style="list-style-type: none"> Types of Entities that can be a Participant or Subparticipant in TEFCA
1.1	Definition: Participant/Subparticipant Caucus	1.1	<ul style="list-style-type: none"> TEFCA Governing Council TEFCA Governance Approach
1.1	Definition: Qualified Health Information Network (QHIN)	1.1	<ul style="list-style-type: none"> QHIN Onboarding & Designation
1.1	Definition: QHIN Caucus	1.1	<ul style="list-style-type: none"> TEFCA Governing Council TEFCA Governance Approach
1.1	Definition: RCE Directory Service	1.1	<ul style="list-style-type: none"> RCE Directory Service Requirements Policy
1.1	Definition: Required Information	1.1	<ul style="list-style-type: none"> RCE Directory Service Requirements Policy
1.1	Definition: Subparticipant	1.1	<ul style="list-style-type: none"> Types of Entities that can be a Participant or Subparticipant in TEFCA
1.1	Definition: TEFCA Exchange	1.1	<ul style="list-style-type: none"> Exchange Purposes (XPs)
1.1	Definition: TEFCA Security Incident	1.1	<ul style="list-style-type: none"> QHIN Security Requirements for Protection of TEFCA Information (TI) Security Incident Reporting
1.1	Definition: Transitional Council	1.1	<ul style="list-style-type: none"> Transitional Council Governance Approach
4.1	Eligibility to be Designated		<ul style="list-style-type: none"> Means to Demonstrate U.S. Ownership & Control of a QHIN QHIN Onboarding & Designation
6.1	Cooperation	2.1	<ul style="list-style-type: none"> Expectations for Cooperation
6.2.2	No Discriminatory Limits on Exchange of TI	2.2.2	<ul style="list-style-type: none"> QHIN Security Requirements for Protection of TEFCA Information (TI) TEFCA Security Incident Reporting

Common Agreement Version 2.0 Sections		ToP Section	Applicable SOPs
7.1	Confidential Information	3.1	<ul style="list-style-type: none"> • ONC Access to and Requests for Confidential Information
7.2	Disclosure of Confidential Information	3.2	<ul style="list-style-type: none"> • ONC Access to and Requests for Confidential Information
7.3	ONC's and the RCE's Approach when Requesting Confidential Information	3.3	<ul style="list-style-type: none"> • ONC Access to and Requests for Confidential Information
8.2	Utilization of the RCE Directory Service	4.1	<ul style="list-style-type: none"> • RCE Directory Service Requirements Policy
9.1	Utilization of TEFCA Exchange	5.1	<ul style="list-style-type: none"> • Exchange Purposes (XPs) • XP Implementation: IAS Demographic Matched • XP Implementation: Treatment • XP Implementation: Health Care Operations • XP Implementation: Public Health
9.4	Responses	5.4	<ul style="list-style-type: none"> • Exchange Purposes (XPs) • XP Implementation: IAS Demographic Matched • XP Implementation: Treatment • XP Implementation: Health Care Operations • XP Implementation: Public Health
9.5	Special Legal Requirements	5.5	<ul style="list-style-type: none"> • IAS Provider Requirements
10.2	Individual Consent	6.2	<ul style="list-style-type: none"> • IAS Provider Requirements • XP Implementation: IAS Demographic Matched
10.5	Additional Security Requirements for IAS Providers	6.3	<ul style="list-style-type: none"> • TEFCA Security Incident Reporting • IAS Provider Requirements
10.5.2	IAS Incident Notice to Affected Individuals	6.3.2	<ul style="list-style-type: none"> • TEFCA Security Incident Reporting • IAS Provider Requirements
12.1	General Security Requirements	8.1	<ul style="list-style-type: none"> • QHIN Security Requirements for Protection of TEFCA Information
12.1.1	Cybersecurity Coverage		<ul style="list-style-type: none"> • QHIN Security Requirements for Protection of TEFCA Information • QHIN Onboarding & Designation
12.1.2	Cybersecurity Certification		<ul style="list-style-type: none"> • QHIN Security Requirements for Protection of TEFCA Information • QHIN Onboarding & Designation

Common Agreement Version 2.0 Sections		ToP Section	Applicable SOPs
12.1.3	Annual Security Assessments		QHIN Security Requirements for Protection of TEFCA Information
12.1.5	Security Resource Support to Participants	8.3	<ul style="list-style-type: none"> QHIN Security Requirements for Protection of TEFCA Information
12.1.6	Chief Information Security Officer		<ul style="list-style-type: none"> QHIN Security Requirements for Protection of TEFCA Information QHIN Onboarding & Designation
12.3	TEFCA Security Incident Reporting	8.3	<ul style="list-style-type: none"> TEFCA Security Incident Reporting
12.3.2	Vertical Reporting of TEFCA Security Incident(s)	8.2.2	<ul style="list-style-type: none"> TEFCA Security Incident Reporting
12.4	Encryption	8.4	<ul style="list-style-type: none"> QHIN Security Requirements for the Protection of TEFCA Information
13.1	Compliance with Specific Obligations	9.1	<ul style="list-style-type: none"> QHIN Cybersecurity Coverage QHIN Security Requirements for the Protection of TEFCA Information QHIN Onboarding and Designation TEFCA Security Incident Reporting QHIN, Participant, and Subparticipant Additional Security Requirements
13.2.1	Responsibility of the RCE		<ul style="list-style-type: none"> TEFCA Security Incident Reporting
12.2.2	Responsibility of Signatory		<ul style="list-style-type: none"> QHIN Cybersecurity Coverage QHIN Security Requirements for the Protection of TEFCA Information QHIN Onboarding and Designation TEFCA Security Incident Reporting QHIN, Participant, and Subparticipant Additional Security Requirements
15.1	Acknowledgement and Consent to Dispute Resolution Process		<ul style="list-style-type: none"> Dispute Resolution
18.3	Fees Charged by QHINs, Participants or Subparticipants	9.4	<ul style="list-style-type: none"> Exchange Purposes (XPs)

Version History

Version	Revision Date	Referenced Documents
<p align="center">TEFCA Cross Reference Resource</p>	<p align="center">July 1, 2024</p>	<ul style="list-style-type: none"> • Common Agreement Version 2.0 • Delegation of Authority SOP • Dispute Resolution SOP • Exchange Purposes (XPs) SOP • Facilitated FHIR SOP • Means to Demonstrate U.S. Ownership & Control of a QHIN SOP • ONC Access to and Requests for Confidential Information SOP • QHIN, Participant, and Subparticipant Additional Security Requirements SOP • QHIN Cybersecurity Coverage SOP • QHIN Onboarding and Designation SOP • QHIN Security Requirements for Protection of TEFCA Information SOP • RCE Directory Service Requirements Policy SOP • Security Incident Reporting SOP • Transitional Council SOP • TEFCA Governing Council SOP • Participant/Subparticipant Terms of Participation (ToP) Version 1.0
	<p align="center">August 6, 2024</p>	<ul style="list-style-type: none"> • XP Implementation: Health Care Operations • XP Implementation: Public Health • XP Implementation: Treatment