

January 20, 2026

RCE™ Monthly Information Call

Zoe Barber, RCE Policy & Governance Lead

Amol Batra, RCE Legal SME

Johnathan Coleman, RCE CISO

Didi Davis, RCE Testing Lead and Standards SME

Chris Dickerson, RCE Policy Manager

Kathryn Lucia, RCE Policy & Governance Analyst

Dave Pyke, RCE Technical SME

Julie Rice, RCE Account Manager

Michael Saito, RCE Operations Lead

Alan Swenson, RCE Technical Lead

Dawn Van Dyke, RCE Communications Lead

Erin Whaley, RCE Legal SME

Chantal Worzala, RCE Stakeholder Engagement Lead

Mariann Yeager, RCE Program Lead

Sydella Yonker, RCE Operations Specialist

Today's Agenda



Agenda Review

ASTP Welcome

Recognized Coordinated Entity (RCE) Updates

TEFCA Exchange Basics

TEFCA Topics in Change Management

SOP Updates

- » Individual Access Services (IAS) Provider Requirements SOP Version 2.1
- » Exchange Purposes (XPs) SOP Version 5.1
- » Exchange Purpose (XP) Implementation: Treatment SOP Version 1.2
- » Exchange Purpose (XP) Implementation: Health Care Operations (HCO) Version 2.0

Q&A



ASTP

Assistant Secretary
for Technology Policy

Steve Posnack, MS, MHS

Principal Deputy Assistant Secretary for Technology Policy

Principal Deputy National Coordinator for Health Information Technology



2026 ANNUAL MEETING

ASSISTANT SECRETARY
FOR TECHNOLOGY POLICY

WASHINGTON
DISTRICT OF COLUMBIA

ANNUAL MEETING
02.11.26 — 02.12.26

ASTP Annual Meeting

February 11-12, 2026 | Washington, DC

Learn More: <https://www.astpannualmeeting.com/>

Feb 11

- **1:00-2:00:** TEFCA on the Rise: Delivering on Trusted Exchange Through Partnership and Action
- **2:15-3:45:** TEFCA Exchange from A to Z
- **4:00-5:00:** Expanding TEFCA's Geographic Footprint

Feb 12

- **1:00-2:00:** The Technical Ins and Outs of TEFCA
- **2:30-3:30:** TEFCA Deep Dive



TEFCA
RECOGNIZED
COORDINATING
ENTITY

Recognized Coordinated Entity (RCE) Updates



THE NUMBERS ARE IN

TEFCA Exchange is Ramping Up!

There are 11,721 organizations live on TEFCA (QHINs, Participants, and Subparticipants) representing over 69,000 unique connections to clinicians, hospitals, clinics, post-acute care/long-term care facilities, public health authorities, and more. [See our TEFCA Map.](#)

More than **323 million documents shared** since go-live in December 2023.



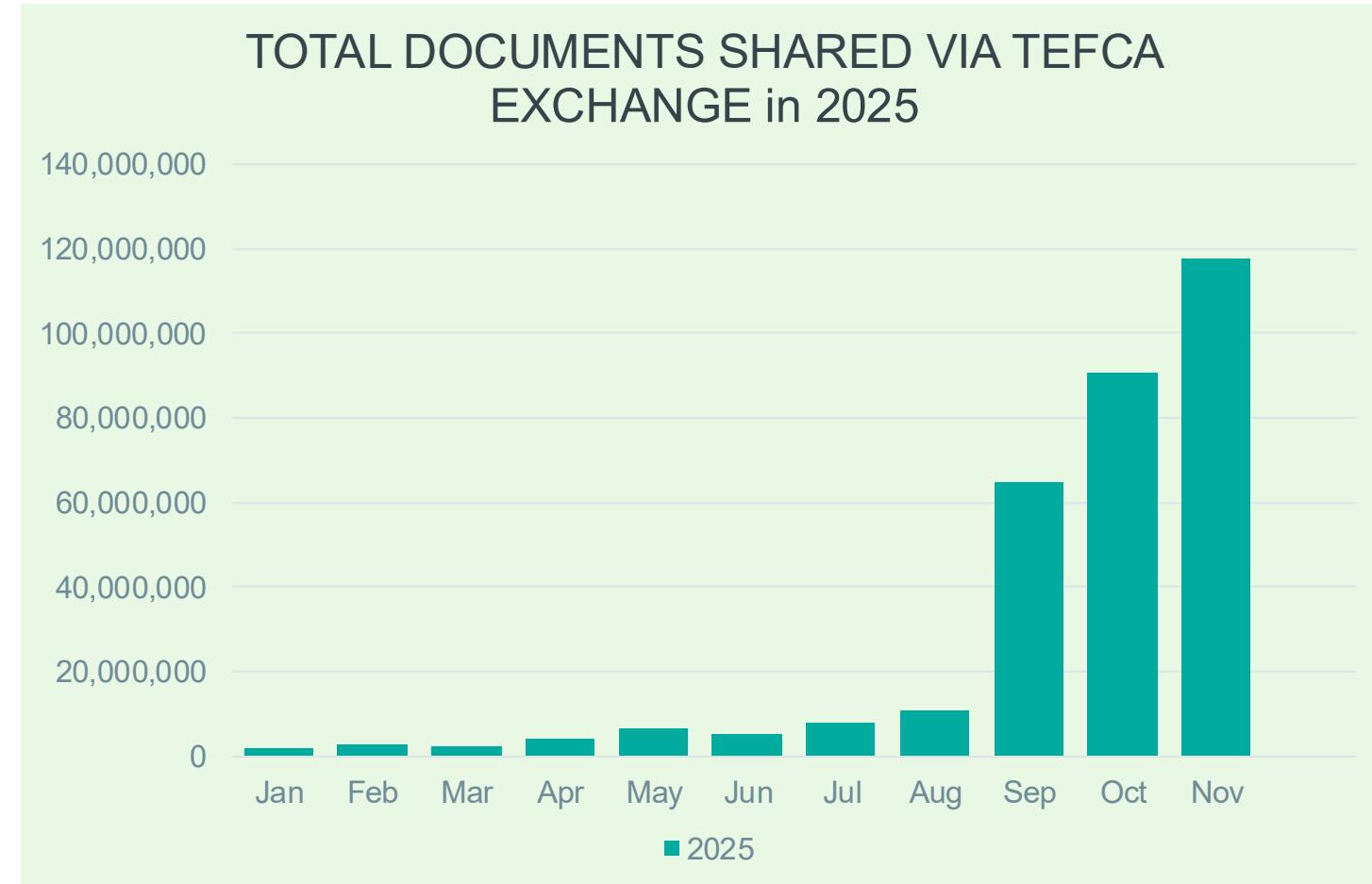
More than **323 million documents shared** since go-live in December 2023

**TEFCA
Documents
Exchanged
in 2024**
(Jan – Dec):

10,378,545

**TEFCA
Documents
Exchanged
in 2025**
(Jan – Nov):

312,820,999



Meet the QHINS



TEFCA
RECOGNIZED
COORDINATING
ENTITY



eClinicalWorks



ORACLE Health
Information Network, Inc.™

eHealth Exchange™



Learn more: <https://rce.sequoiaproject.org/designated-qhins/>



TEFCA
RECOGNIZED
COORDINATING
ENTITY

TEFCA Exchange Basics

TEFCA Components



TEFCA
RECOGNIZED
COORDINATING
ENTITY



Framework
Agreements



Standard
Operating
Procedures



Technical
Requirements



RCE
Directory



Oversight &
Compliance



Governance

Need the basics? Check out the TEFCA Guide:

https://rce.sequoiaproject.org/wp-content/uploads/2024/10/TEFCA-Guide-September-2024_508.pdf

ASTP defines overall policy and certain governance requirements

RCE provides oversight and governing approach for the Qualified Health Information Networks (QHINs)

QHINs connect directly to each other to facilitate nationwide interoperability

Each QHIN connects Participants, which connect Subparticipants

Participants and Subparticipants connect to each other through TEFCA Exchange

- Participants contract directly with a QHIN and may choose to also provide connectivity to others (Subparticipants), creating an expanded network of networks
- Participants and Subparticipants sign the same Terms of Participation and can generally participate in TEFCA Exchange in the same manner



TEFCA
RECOGNIZED
COORDINATING
ENTITY

TEFCA Topics in Change Management

TEFCA™ Topics in Change Management

The RCE, together with ASTP and the TEFCA governance bodies, will use this webpage to provide transparency into amendments to the Framework Agreements, technical requirements, and SOPs in the change management process.

[View Recent Updates](#)



Stay Informed with Updates!

<https://rce.sequoiaproject.org/tefca-topics-in-change-management/>

TEFCA Topics in Change Management

Recent Updates

TOPIC AREA	TIMELINE	DRAFT(S) UNDER CONSIDERATION	APPROVED VERSION	NEXT STEPS
Individual Access Service Provider Requirements	Winter 2026	Draft IAS Provider Requirements SOP v2.1 (clean) Draft IAS Provider Requirements SOP v2.1 (redlined from v2.0)	IAS Provider Requirements SOP v2.1	Caucuses Approved. Effective March 17, 2026.
Exchange Purposes	Winter 2026	Draft Exchange Purposes SOP v5.0 (clean) Draft Exchange Purposes SOP v5.0 (redlined from v4.1)	Exchange Purposes SOP v5.0	Caucuses Approved. Effective February 15, 2026.
Treatment	Winter 2026	Draft Treatment Implementation SOP v1.2 (clean) Draft Treatment Implementation SOP v1.2 (redlined from v1.1)	Treatment Implementation SOP v1.2	Caucuses Approved. Effective February 15, 2026.
Health Care Operations	Winter 2026	Draft Health Care Operations SOP v2.0 (clean) Draft Health Care Operations SOP v2.0 (redlined from v1.1)	Health Care Operations SOP v2.0	Caucuses Approved. Effective February 15, 2026.
Facilitated FHIR	Fall 2025	Facilitated FHIR Implementation SOP v2.0	Forthcoming	Caucuses preparing to vote.
Government Benefits Determination	Fall 2025	Draft Government Benefits Determination SOP Draft Exchange Purposes SOP v4.1	Government Benefits Determination SOP Exchange Purposes SOP v4.1	Caucuses approved. Effective December 4, 2025.
QHIN Technical Framework (QTF) V2.1	Fall 2025	Draft QTF V2.1 Draft QTF V2.1 - Redlined	QTF V2.1	Caucuses approved. Effective December 4, 2025.

Subscribe for updates in your inbox when amendments are introduced, final versions are provided, and timelines are updated.

[TEFCA Topics in Change Management - ASTP TEFCA RCE](#)



TEFCA
RECOGNIZED
COORDINATING
ENTITY

SOP Updates

SOP Summary



TEFCA
RECOGNIZED
COORDINATING
ENTITY

SOP	Description	Date of Caucus Approval	Effective Date
Individual Access Services (IAS) Provider Requirements SOP Version 2.1	This SOP defines the required content of an IAS Provider's Privacy and Security Notice, as well as the procedures for notifying Individuals of IAS-related security incidents or breaches. Updates provide individuals with additional transparency into the choices they have for sharing their Individually Identifiable Information in TEFCA.	1/12/2026	3/17/2026
XP Implementation SOP: Health Care Operations (HCO) Version 2.0	This SOP defines the implementation specifications for asserting the Health Care Operations (HCO) Exchange Purpose (XP) and sub-Exchange Purpose (XP) Codes. Updates establish additional XP Codes for Health Care Operations and enhance flexibility for Participants/Subparticipants to determine exchange specifications that support core Health Care Operations use cases, while protecting individual privacy under TEFCA.	1/12/2026	2/15/2026
XP Implementation SOP: Treatment Version 1.2	This SOP specifies the implementation requirements and parameters for asserting the Treatment Exchange Purpose, including the TEFCA Required Treatment (T-TRTMNT) XP Code. Updates address which Responding Nodes must respond to T-TRTMNT queries while supporting appropriate, trusted access to patient information under TEFCA.	1/12/2026	2/15/2026
Exchange Purposes SOP Version 5.0	This SOP defines the authorized Exchange Purposes (XPs) and provides information on the response obligations, fee permissions, and exceptions to required response for each XP Code. Updates provide additional detail on exchange for Non-Required XP Codes and are consistent with other published SOPs.	1/12/2026	2/15/2026



TEFCA
RECOGNIZED
COORDINATING
ENTITY

IAS Provider Requirements SOP

Version 2.1

This SOP defines the required content of an IAS Provider's Privacy and Security Notice, as well as the procedures for notifying Individuals of IAS-related security incidents or breaches. Updates provide individuals with additional transparency into the choices they have for sharing their Individually Identifiable Information in TEFCA.

Substantive Changes in IAS Provider Requirements SOP Version 2.1

SOP Section #	What was the previous policy?	What changes were made?	Why were the changes made?
4.1(3)	IAS Providers must translate the Notice into any non-English language that is the primary language of at least five (5) percent of the individual users in the IAS Provider's service area	Removed requirement	To reduce burden on IAS Providers and increase participation
4.1(4)	IAS Providers must include a statement regarding whether III related to reproductive health care services (as defined in Executive Order 14076) and gender affirming care may be Used and/or Disclosed in accordance with Applicable Law	Removed references to Executive Order 14076 and reproductive care/gender-affirming care	Executive Order 14076 was rescinded
4.1(9)	IAS Providers must describe the choices an Individual has regarding the collection, use, deletion, and sharing of their Individually Identifiable Information (III)	<p>In addition to the previous policy:</p> <ul style="list-style-type: none">IAS Providers must publicly disclose whether they have Bidirectional capabilities or if they are Request-OnlyIf the IAS Provider is Bidirectional then the IAS Provider must provide the Individual with a choice regarding whether or not the IAS Provider will Disclose Individually Identifiable Information in Response to Requests via TEFCA Exchange	To increase patient autonomy over how their data is disclosed and encourage more IAS participation in TEFCA

This is a summary. Please reference the SOP on the [Resources Page](#) for the full text.



TEFCA
RECOGNIZED
COORDINATING
ENTITY

XP Implementation: Health Care Operations (HCO) Version 2.0

This SOP defines the implementation specifications for asserting the Health Care Operations (HCO) Exchange Purpose (XP) and sub-Exchange Purpose (XP) Codes. Updates establish additional XP Codes for Health Care Operations and enhance flexibility for Participants/Subparticipants to determine exchange specifications that support core Health Care Operations use cases, while protecting individual privacy under TEFCA.

Substantive Changes in HCO XP Implementation Version 2.0



SOP Section #	What was the previous policy?	What changes were made?	Why were the changes made?
4.1	Defined 4 T-HCO XP Codes: <ul style="list-style-type: none">• Health Care Operations (T-HCO)• Care Coordination/Case Management (T-HCO-CC)• HEDIS Reporting (T-HCO-HED)• Quality Measurement (T-HCO-QM)	<p>Updated the Exchange Purpose (XP) Code options under the HCO category to include 6 HCO XP Codes with descriptions</p> <ul style="list-style-type: none">• Care Coordination/Case Management• HEDIS Reporting• Quality Assessment and Improvement• Population-Based Activities• Patient Safety• Performance Review	To provide greater transparency and granularity into the purposes for data exchange conducted for Health Care Operations, while maintaining flexibility for Participants/Subparticipants to determine exchange specifications
4.4.2.2, 4.4.3.3	Terms for T-HCO included SHOULD-level response, data, and implementation guide specifications	Removed SHOULD-level response and data specifications	T-HCO and associated sub-XPs are Non-Required XPs and exchange partners will be able to determine response requirements, including scope of data to be exchanged, with data exchange partner under Section 4.6 of the Exchange Purposes (XPs) SOP
5, 6, and 7	SOP had individual sections for each of Care Coordination/Case Management, HEDIS Reporting, and Quality Measure Reporting	Removed detailed, use case specifications around Care Coordination/Case Management, HEDIS Reporting, and Quality Measure Reporting	Exchange partners will determine the details for exchange for each of these sub-XPs, with ongoing monitoring by the Governing Council

This is a summary. Please reference the SOP on the [Resources Page](#) for the full text.



TEFCA
RECOGNIZED
COORDINATING
ENTITY

XP Implementation SOP: Treatment Version 1.2

This SOP specifies the implementation requirements and parameters for asserting the Treatment Exchange Purpose, including the TEFCA Required Treatment (T-TRTMNT) XP Code. Updates address which Responding Nodes must respond to T-TRTMNT queries while supporting appropriate, trusted access to patient information under TEFCA.

Substantive Changes in Treatment XP Implementation SOP Version 1.2



SOP Section #	What was the previous policy?	What changes were made?	Why were changes made?
Definitions	The definition refers to 45 CFR 164.501	Added a footnote with the text of the 45 CFR 164.501 Treatment definition (as of the effective date of the SOP) for reference	To enhance readability of the SOP
4.4.5.2 and 4.4.6.3	All Responding Nodes must respond to T-TRTMNT	<p>Modified the required response section to T-TRTMNT:</p> <ul style="list-style-type: none">• All Responding Nodes that are operated by or associated with a Health Care Provider or its Delegate MUST respond• All Responding Nodes that are operated by or associated with an IAS Provider MUST Respond when the IAS Provider supports Response and the Individual has chosen for the IAS Provider to Respond• All other Responding Nodes that are not required to Respond to QHIN Queries for the T-TRTMNT XP Code SHOULD respond to QHIN Queries for the T-TRTMNT XP Code• The RCE, in consultation with the Governing Council, will monitor reported metrics on T-HCO and T-PYMNT, as well as participation by Health Plans in TEFCA Exchange, to establish a timeline for Responding Nodes operated by or associated with Health Plans or Delegates of Health Plans to be required to Respond to T-TRTMNT	To encourage participation by Participants and Subparticipants

This is a summary. Please reference the SOP on the [Resources Page](#) for the full text.



TEFCA
RECOGNIZED
COORDINATING
ENTITY

Exchange Purposes SOP Version 5.0

This SOP defines the authorized Exchange Purposes (XPs) and provides information on the response obligations, fee permissions, and exceptions to required response for each XP Code. Updates provide additional detail on exchange for Non-Required XP Codes and are consistent with other published SOPs.

Substantive Changes in XPs SOP Version 5.0



SOP Section #	What was the previous policy?	What changes were made?	Why were the changes made?
4.1	If there is a more precise XP Code that describes the reason for which an entity has initiated a push transaction, then the entity MUST use the more specific XP Code	Extended the current policy to apply to query transactions, in addition to push transactions	To enable transparency into the specific sub-XP Code (use case) for which exchange partners are querying
4.2(c)	SOP language refers to “controlling” a Node	Changed the word controlled to operate to stay consistent with other documents. Also, clarified what it means to “operate” a Node	To enhance clarity
4.2, Table 1	All Responding Nodes MUST respond to T-TRTMNT	<ul style="list-style-type: none">Modified response requirements for T-TRTMNTAdded new T-HCO sub-XP Codes	To encourage participation and align with updates made in the Treatment XP Implementation SOP and the HCO XP Implementation SOP

This is a summary. Please reference the SOP on the [Resources Page](#) for the full text.

Substantive Changes in XPs SOP Version 5.0 continued



SOP Section #	What was the previous policy?	What changes were made?	Why were the changes made?
4.5 (previously 4.6)	IAS Providers have an exception to required response if the response would be inconsistent with the IAS Provider's Privacy and Security Notice	<ul style="list-style-type: none">Aligned language with IAS Provider Requirements SOP to reflect IAS Provider capabilities to respond and patient disclosure preferencesAdded exception for Initiator-Only Delegates to align with the Delegation of Authority SOP	To align with other SOPs
4.6 (previously 4.7)	XPs SOP Version 4.1 states that for Non-Required XP Codes, Section 6.2.2. of the Common Agreement and Section 2.2.2 of the TOP shall not apply	<p>Added clarification that where the Framework Agreements, SOPs, or QTF don't address certain aspects of exchange, partners may agree to mutual terms. For example:</p> <ul style="list-style-type: none">Fees for query responseData needed to fulfill the purpose of the queryService level agreementsScope of patient populationReciprocity requirements <p>Added additional detail on governance and oversight of exchange for Non-Required Exchange Purposes</p>	<p>To add clarity on what terms are negotiable between exchange partners</p> <p>To provide more transparency into exchange patterns for Non-Required XP Codes</p>

This is a summary. Please reference the SOP on the [Resources Page](#) for the full text.



TEFCA
RECOGNIZED
COORDINATING
ENTITY

Educational Resources

RCE Resource Library

TEFCA is a multifaceted, living framework that enables seamless and secure nationwide exchange of health information.

GETTING STARTED



Below is a guide to the Common Agreement, Standard Operating Procedures (SOPs), technical documents, and other resources that make up TEFCA's rules of the road. Start your journey to next generation interoperability here.

<https://rce.sequoiaproject.org/tefca-and-rce-resources/>

Additional Resources:

<https://www.healthit.gov/tefca>

All Events Registration and Recordings:

<https://rce.sequoiaproject.org/community-engagement/>

**Next RCE Monthly
Information Call**

February 17, 2026 | 12:00-1:00pm ET

TEFCA Glossary



TEFCA
RECOGNIZED
COORDINATING
ENTITY

The **TEFCA glossary** brings together defined terms used across the Framework Agreements, the QHIN Technical Framework, and applicable Standard Operating Procedures to promote consistent interpretation and reduce confusion as readers engage with the framework documents.

TEFCA Glossary

PURPOSE

This Trusted Exchange Framework and Common Agreement™ (TEFCA™) Glossary is intended to serve as an in-depth compilation of terms and their definitions as referenced in the Framework Agreements, Qualified Health Information Network® (QHIN™) Technical Framework (QTF), and Standard Operating Procedures (SOPs). The TEFCA Glossary is the authoritative source for defined terms used across Framework documents and supports consistent understanding of those terms.

Definitions in this Glossary that originate in the Common Agreement or the QTF are identified as such. All other definitions originate in SOPs.

In the event of any conflict or inconsistency between a definition set forth in this Glossary and a definition contained in the QTF or any SOP, the definition set forth in this Glossary shall control.

In the event of any conflict or inconsistency between a definition set forth in this Glossary and a definition contained in the Common Agreement or the Participant/Subparticipant Terms of Participation (ToP), the definitions set forth in the Common Agreement or the Participant/Subparticipant Terms of Participation shall control.

All references to Signatory in a definition below or in the Framework Agreements may refer to a QHIN, or Upstream QPS as applicable.

GLOSSARY OF TERMS

A

Access Consent Policy (ACP): policies that may influence access control decisions and which can be referenced in Queries. *Source: QTF Version 2.1*

Actor: a QHIN, Participant, or Subparticipant. *Source: QTF Version 2.1*

Affiliated With: an individual is affiliated with a QHIN, Participant, or Subparticipant if such individual is an owner, director, officer, employee, contractor, or agent of such QHIN, Participant, or Subparticipant. *Source: SOPs*

Applicable Law: all federal, State, local, or tribal laws and regulations then in effect and applicable to the subject matter herein. For the avoidance of doubt, federal agencies are only subject to federal law. *Source: Common Agreement Version 2.1 and Participant/Subparticipant Terms of Participation Version 1.0*

Applicant or Applicant QHIN: means any organization that has submitted an intent to apply to be a QHIN to the Recognized Coordinating Entity® (RCE®), and that intent to apply has

© 2025 The Sequoia Project 2

TEFCA RECOGNIZED COORDINATING ENTITY

Link to Resource: https://rce.sequoiaproject.org/wp-content/uploads/2025/12/TEFCA-Glossary_Dec-2025-Update_final.pdf

TEFCA Cross-Reference Resource



This resource helps QHINs, Participants, and Subparticipants identify which SOPs are relevant to specific sections of the Common Agreement and ToP. It shows where an “applicable SOP” is referenced, with separate columns for the Common Agreement and ToP to reflect differences in section numbering.

The resource is intended as a reference to support understanding and navigation of the Framework and SOPs. While we strive for accuracy, it is provided for educational purposes only; QHINs, Participants, and Subparticipants remain responsible for ensuring compliance with all applicable SOPs.



Link to Resource: https://rce.sequoiaproject.org/wp-content/uploads/2026/01/TEFCA-Cross-Reference-Resource_Jan-2026-508.pdf



Questions & Answers

For more information:
rce.sequoiaproject.org